Audit Analytics is an independent provider of audit, regulatory and disclosure intelligence, serving the accounting, financial, corporate, legal and academic communities. Using our suite of products, our clients gain comprehensive insight into the issues, trends and opportunities in a range of markets and industries. The Audit Analytics team draws on its experience in public accounting to provide our customers with comprehensive and timely research to complement and enhance their research workflow processes. Our proprietary methodologies enable quantitative analysis of qualitative factors. Innovative technology is supported by personalized customer service and an ongoing commitment to product investment.

Easy-to-use features allow users to download data into Excel, receive daily e-mail alerts of important public company events, and benefit from advanced online filters and searches from the following data modules.

**Audit + Compliance**
Track and understand customer and competitor activities and trends related to audit, regulatory and disclosure issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

**Corporate + Legal**
An integrated collection of databases focused on actions, disclosures and correspondence by companies, advisors, regulators and investors. Leading investment and legal professionals use this intelligence to achieve cost and time savings for accounting and securities law work, due diligence and business development.

**Accounting + Oversight**
Designed to illuminate the big picture of the audit and regulatory environment. Gain insight into audit and accounting quality, trends in PCAOB inspections, shareholder satisfaction with auditors and more.

**Other Independent Audits (OIA)**
Bring into focus hundreds of thousands of audits of non-public entities. Comprising two separate modules – Financial Services and Government + Non-Profit – this product covers a number of boutique industries, from broker dealers to benefit plans. These tools can help identify market and engagement opportunities.

**Canada (SEDAR)**
Our SEDAR module provides detailed research and expert analysis on nearly 4,000 Canadian public companies. We process the filings required by the Canadian Securities Administrators that are submitted to the online SEDAR database, enabling powerful and efficient data analytics.
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Getting Started

As a user accessing Audit Analytics data via WRDS you are able to access our on-line service. For log in credentials please contact Tom Hardy @ Thardy@ivesinc.com.
Overview - Home page

Audit Analytics data includes detailed categorizations of issues and is considered by many professionals to be the best primary data source for tracking and analysis of public company disclosures related to the audit, compliance, governance, corporate actions and federal litigation.

The following pages will give basic guidance in navigating the Audit Analytics website and how to create custom reports within the various databases.

Use CIK or Ticker to search for SEC registrant. Use the Advanced Search to look up Auditor, Bank Holding Company, Broker Dealer, Non-Profit or Private Placement etc.

Select from the list of datasets to create custom report. Note - Each search page contains Data Dictionary with overview, scope of coverage, with definitions of data variables.

Access cutting-edge research published by our users.

Read in-depth analysis in our published reports

Read our popular blog posts as well as news
**Auditor Search**

Where applicable the search pages allow users to filter the population of SEC registrants by financial, geographical, file dates, industry, filer status or market.

An example of typical search is shown below using the Auditor – Engagements dataset.

- Search “Current Engagements” for the most recent auditor of record for each company.
- Search “All Engagements” for historical information for audit firm selected.

Once the auditor, financial, geographical, market and filer status filters are selected, click “Search by Auditor”

Search results can be downloaded into a spreadsheet. Note - Refine your population by using one or more of the many filters. Check the Notes worksheet in the Data Dictionary attached to the search page for overview and frequently asked questions.
Company Search

Below is an overview of searching the Audit Opinions data by Company (SEC registrant).

Company based searches can be done by entering in a list of CIK codes or company tickers into the text box. When searching the entire database “All Companies” it is recommended to filter the results based on company or auditor location, range of audit fees, financial and/or dates. Applying the filters will prevent the result set from exceeding the 30,000 row limit. For details on all the variables, overview with FAQ and a list of all Going Concern issues, please see the data dictionary attached to the search page.
Searching Audit Analytics Datasets (con’t)

**Text search**

In datasets containing the full text disclosure, users can search with keywords using the Text Search.

Syntax reference sheet is located on the search page for reference.
Audit Analytics Company Profile

At a glance users can access to all of the current and historical data available in our database.

- Links to source filings
- Ability to export past 4 years of financial information – Income Statement, Balance Sheet, Cash Flow
- Shareholder Activism
- Litigation
- SEC Comment Letters
- Governance – Director & Officer changes
- Link to Auditor Profile
Support +Training

For additional information and training please contact info@auditanalytics.com

Blog - http://www.auditanalytics.com/blog/
Twitter - https://twitter.com/AuditAnalytics
Linkedin - https://www.linkedin.com/company/ives-group-inc